



1652

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,395-B)

IN THE APPLICATION OF:

Lawn et al.

Serial No. 09/596,141

Filed: June 16, 2000

Title Compositions and Methods for
Increasing Cholesterol Efflux and
Raising HDL Using ATP Binding
Cassette Transporter Protein ABC1

Examiner: Rao, Manjunath N.

Group Art Unit: 1652

Confirmation No.: 1470

Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- Preliminary Amendment (with Appendices A and B);
- Statement of Compliance of the Sequence Listing under CFR § 1.821(f);
- Computer-Readable Form of Sequence Listing (1 diskette);
- Paper Copy of Sequence Listing; and
- Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 18th day of December, 2002.

Date: December 18, 2002

By:

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Confirmation No: 1470

13/B
AGJ
1/30/03

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

01/30/2003 GTRAMMEL 00000003 132490-109596141
01 FC:2253 and 46000001
Applicant respectfully requests that the Examiner please enter the following amendments and the remarks submitted herewith before prosecution on the merits. It is believed that no fee is due in connection with this filing; however, if a fee is due, please charge our Deposit Account No. 13-2490.

AMENDMENT

In the Specification:

On page 33, please replace the first paragraph (beginning with "includes an additional") of the originally-filed application with the following paragraph:

B' "includes an additional 180 nucleotides at the 5' end corresponding to the following 60 amino-terminal amino acids:

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Washington D.C. 20231, on December 18, 2002.

Date: December 18, 2002

Anita J. Terpstra